

Share Holding Pattern as per Regulation 31(1) of the SEBI(Listing Obligations and Disclosure Requirements) Regulations 2015

1. Name of Listed Entity: **Harvatex Engineering and Processing Co. Ltd.**
 2. Scrip Code/Name of Scrip/Class of Security: **18099/Equity**
 3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
 a. If under 31(1)(b) then indicate the report for Quarter ending: **Regulation 31(1)(b)**
 b. If under 31(1)(c) then indicate date of allotment/extinguishment: **September 30, 2019**
 4. **Declaration:** The Listed entity is required to submit the following declaration to the extent of submission of information:-
 NA

Particulars			Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		-	No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		-	No
3	Whether the Listed Entity has any shares against which depository receipts are issued ?		-	No
4	Whether the Listed Entity has any shares in locked-in?		-	No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		-	No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows :

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of share holders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR,1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+ C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg: X	Class eg: y	Total								
(A)	Promoter & Promoter Group	5	445200	0	0	445200	61.83	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(B)	Public	615	274800	0	0	274800	38.17	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(C1)	Shares underlying DRs	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
	Total	620	720000	0	0	720000	100	0	0	0.00	0	0.00	0	0.00	0	0.00	0	

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held(V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculate d as per SCRR,1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total shares held (b)
								Class X	Class Y	Total								
(1) Indian																		
(a) Individuals/Hindu undivided Family		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(b) Central Government/ State Government(s)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(c) Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
(d) Any Other (Body Corporate)		5	445200	0	0	445200	61.83	0	0	0.00	0	0.00	0	0.00	0	0.00	0	
Mansarovar Commercial Pvt Ltd	AAGCM0307D		79450	0	0	79450	11.03	0	0	0.00	0	0.00	0	0.00	0	0.00	0	

	Pashupathinath Commercial Pvt Ltd	AAECP3237D		100950	0	0	100950	14.02	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Sovereign Commercial Pvt Ltd	AAKCS1827Q		92250	0	0	92250	13.23	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Swastick Commercial Pvt Ltd	AAKCS1829A		48400	0	0	48400	6.72	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Trishul Commercial Pvt Ltd	AAJCT5894K		121150	0	0	121150	16.83	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Sub-Total (A)(1)		5	445200	0	0	445200	61.83	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(2)	Foreign																		
(a)	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
(f)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Sub-Total (A)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		5	445200	0	0	445200	61.83	0	0	0	0.00	0	0.00	0	0	0	0.00	0

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	Nos. of share holder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos shares held VII = [V+VI +V1]	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) VIII	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights					Total as a % of Total Voting rights	No.(a)	As a % of total Shares held (b)	No. (No applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class X	Class Y	Total								
(1)	Institutions																		
(a)	Mutual Funds/		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(f)	Financial Institutions/ Banks		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(g)	Insurance Companies		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(i)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (B)(1)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(2)	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (B)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

(3)	Non-institutions																	
(a)	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	609	157840	0	0	157840	21.92	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	3	69960	0	0	69960	9.71	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sh. Munna Kr. Sultania		25000	0	0	25000	3.47	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sh. Parmeshwari Lal Sultania		24950	0	0	24950	3.46	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sh. Ajit Kumar Dey		20010	0	0	20010	2.78	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(b)	NBFCs registered with RBI	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(c)	Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(d)	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(e)	Any Other (specify) Body Corporate	3	47000	0	0	47000	6.53	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Sub-Total (B)(3)	615	274800	0	0	274800	38.16	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	615	274800	0	0	274800	38.16	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given bear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders (I)	PAN (II)	No. of share holder (III)	No. of fully paidup equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total no. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Total shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No of Voting Rights		Total as a % of Total Voting rights			No.	As a % of total Shares held	No. (Not applicable)	As a % of total shares held (Not applicable)		
									Class X	Class Y									Total
(1)	Custodian/DR Holder																		
(a)	Name of DR Holder (if available)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
(2)	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0
	Total Non- Promoter- Non Public Shareholding (C) = (C)(1)+(C)(2)		0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0	0.00	0	0.00	0

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

Name & Designation	
	Date: 10/10/2019

For Harvatex Engineering & Processing Co. Ltd.
For Harvatex Engineering and Processing Co. Ltd.
Director/Authorised Signatory
Rajat Kumar
(Director)